



Date: November 19, 2001
Docket No.: IR-2588(ET)CIP2

1733

#3
1-2302

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Sir:

Transmitted herewith for filing in the patent application of

Inventor(s): Caster, et al. Serial No.: 09/975,761
Examiner: Unknown Group Art Unit: Unknown
For: "Contact Metathesis Polymerization" Filed: 10/11/2001

RECEIVED
JAN 18 2002
IC 1700

Enclosed are:

- ☒ Information Disclosure Statement Under 37 CFR §1.97 and §1.98
- ☒ Form PTO 1449 with copies of cited references
- ☒ Post Card for Acknowledgement of Receipt
- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-2143. A duplicate copy of this sheet is enclosed.

Lord Corporation
111 Lord Drive
P.O. Box 8012
Cary, NC 27512-8012
Telephone: (919) 468-5979
Facsimile: (919) 469-5226

Miles B. Dearth
Miles B. Dearth
Attorney for Applicant(s)
Registration No. 35,115

CERTIFICATION OF MAILING UNDER 37 CFR 1.8(A)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, on November 19, 2001, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark
Name of Person Mailing Paper

Alida M. Clark
Signature of Person Mailing Paper

November 19, 2001
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Caster, et al.	Group Art Unit:	Unknown
Assignee:	Lord Corporation	Examiner:	Unknown
Serial No.:	09/975,761	Filed:	10/11/2001
For:	"Contact Metathesis Reaction"		



November 19, 2001

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

Sir:

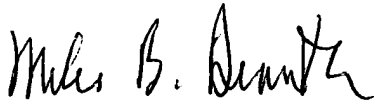
In accordance with the duty of disclosure under 37 CFR §2.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO-1449 form and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 CFR §1.97(e) or fee under 37 CFR §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



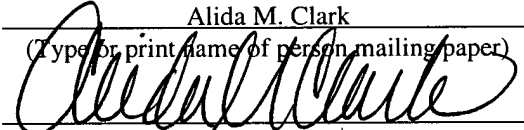
Miles B. Dearth
Attorney for Applicant
Registration No. 35, 115

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date indicated below with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, DC 20231, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark

(Type or print name of person mailing paper)

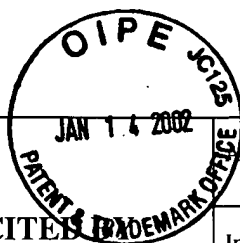


(Signature of person mailing paper)

November 19, 2001

Date

Form PTO-1449


 Docket No.
IR-2588(ET)CIP2

 Serial No.
09/975,761

LIST OF RELATED ART CITED BY APPLICANT

(Use several sheets if necessary)

 Inventor **Caster, et al.**

 Filing Date
10/11/2001

 Group
Unknown

 RECEIVED
JAN 18 2002
10:17:00

U.S. PATENT DOCUMENTS

*Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF APPROPRIATE
	AA	4,727,215	2/88	Schrock			
	AB	4,902,560	2/90	Silver			
	AC	5,073,597	12/91	Puydak, et al.			
	AD	5,137,785	8/92	Suzuki, et al.			
	AE	5,312,940	5/94	Grubbs, et al.			
	AF	5,342,909	8/94	Grubbs, et al.			
	AG	5,491,206	2/96	Brown-Wensley, et al.			
	AH	5,603,985	2/97	Kent, et al.			
	AI	5,609,962	3/97	Ouhadi			
	AJ	5,728,785	3/98	Grubbs, et al.			
	AK	5,973,085	10/99	Muhlebach, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO	
	AL	WO 97/38036	10/97	PCT				
	AM	EP 0 424 833	10/90	EPO				
	AN	WO 96/23829	8/96	PCT				
	AO	WO 96/16008	5/96	PCT				
	AP	WO 96/16100	5/96	PCT				

OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Ikeda, A.; Tsubata, A.; Kameyama, A.; Nishikubo, T. "Synthesis and Photochemical Properties of Poly(ester-amide)s Containing Norbornadiene (NBD) Residues", <i>J. Poly. Sci.: Part A: Polymer Chemistry</i> , 1999, 37, 917.					
	AS		Coleman, C.G.; McCarthy, T.J. "Tricyclooctadiene: A Crosslinking Agent for Olefin Metathesis Polymerization", <i>Polymer Preprints</i> , 1988, 29, 283.					
	AT		Bazan, G.C.; Schrock, R.R., "Synthesis of Star Block Copolymers by Controlled Ring-Opening Metathesis Polymerization", <i>Macromolecules</i> , 1991, 24, 817.					

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant.

Form PTO-1449 LIST OF RELATED ART CITED BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. 2588(ET)CIP2	Serial No. 09/975,761
	Inventor Caster, et al.	
	Filing Date 10/11/2001	Group Unknown

RECEIVED
 JAN 18 2002
 IC 1/100

U.S. PATENT DOCUMENTS

*Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO	
AL	EP 0 889 107 A	1/99	EPO				
AM	EP 0 381 611 A	8/90	EPO				
AN	EP 0 063 092 A	10/82	EPO				
AO							
AP							

OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Saunders, R.S.; Cohen, R.E.; Wong, S.J.; Schrock, R.R., "Synthesis of Amphiphilic Star Block Copolymers Using Ring-Opening Metathesis Polymerization", <i>Macromolecules</i> , 1992, 25, 2055.
AS	Stille, J.K.; Witherall, D.R., "Influence of Hydrogen Crowding on the Rates of Reactions. The Addition of cis Reagents to the Dimethanonaphthalene Ring System", <i>J. Amer. Chem. Soc.</i> , 1964, 86, 2188.
AT	Stille, J.K.; Frey, D.A. "Tertacyclic Dienes. I. The Diels-Alder Adduct of Norbornadiene and Cyclopentadiene", <i>J. Amer. Chem. Soc.</i> , 1959, 81, 4273.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant.

Form PTO-1449 LIST OF RELATED ART CITED BY APPLICANT (Use several sheets if necessary)	Atty. Docket No. 2588(ET)CIP2	Serial No. 09/975,761
	Inventor Caster, et al.	
	Filing Date 10/11/2001	Group Unknown

RECEIVED
 JAN 18 2002
 IC 1700

U.S. PATENT DOCUMENTS

*Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES NO	
AL							
AM							
AN							
AO							
AP							

OTHER RELATED ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR		Hart, Harold; Lai, Chung-yin ; Nwokogy, Godson Chukuemeka; Shamouilian, Shamouil; "Tetraholobenzenes as Di-Aryne Equivalents in Polycyclic Arene Synthesis"
		<i>Tetrahedron</i> , Vol. 43, No. 22, pp. 5203 to 5224, 1987
AS		
AT		

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformation and not considered. Include copy of this form with next communication with applicant.